



3627 \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood
Serial No.: 09/608,675
Filed: June 29, 2000
Title: DIRECT EXPENSE IMPLEMENTATION FOR FINANCIAL PROCESSING IN A
RELATIONAL DATABASE MANAGEMENT SYSTEM

Examiner: Andrew J. Rudy
Group Art Unit: 3627
Docket: 9010

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 22, 2003.

By: [Signature]
Name: George H. Gates

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8
- ☒ Supplemental Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Charge the fee in the amount of **\$180.00** for the Information Disclosure Statement to the Deposit Account.
- ☒ Return postcard.

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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: [Signature]
Name: George H. Gates
Reg. No.: 33,500
GHG/mrj

(PTO TRANSMITTAL - GENERAL)



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SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

Pursuant to 37 C.F.R. §1.97(c), please charge the amount of **\$180.00** to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application, for having the items of information listed considered after the mailing date of a first Office Action on-the-merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.


Respectfully submitted,

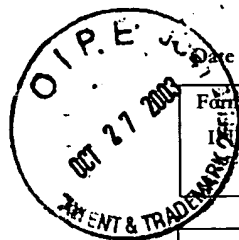
GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 22, 2003

GHG/mrj
Enclosures: Form PTO-1449
References cited

By: 
Name: George H. Gates
Reg. No.: 33,500



Date Mailed: October 22, 2003

Sheet 1 of 1

Form 1449*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

Docket Number: 9010

Application Number: 09/608,675

Applicant: George R. Hood

Filing Date: June 29, 2000

Group Art Unit: 3627

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US-2002/0026394	02/2002	Savage et al.			
	5,189,608	02/1993	Lyons et al.			
	5,249,120	09/1993	Foley			
	5,615,109	03/1997	Eder			
	5,655,085	08/1997	Ryan et al.			
	5,819,237	10/1998	Garman			
	5,911,135	06/1999	Atkins			
	5,991,743	11/1999	Irving et al.			
	6,085,175	07/2000	Gugel et al.			
	6,233,566	05/2001	Levine et al.			
	6,240,399	05/2001	Frank et al.			
	6,253,192	06/2001	Corlett et al.			
	6,275,813	08/2001	Berka			
	6,292,787	09/2001	Scott et al.			
	6,393,406	05/2002	Eder			

FOREIGN PATENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[1]	Kassing, Jay, "Profitability: Growing the Bottom Line," CSI, 1999, 43 pages.
[2]	"Canadian Bank Mines for Data-based Gold," Action Items, 12/2/97, 2 pages.
[3]	"Bank of Ireland Selects Compaq AlphaServers to Help Improve Profitability Management," 12/3/98, Compaq, 2 pages.
[4]	"Marquis Tops 300 MCIF-CRM Data Mart Clients Worldwide," 4/18/00, 2 pages.
[5]	Curley, Bob, "Royal Bank uncovers profitability solution", Bank Systems & Technology, April 2000, 2 pages.

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GROUP 3600

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.